



DEPARTMENT OF THE NAVY
OFFICE OF THE CHIEF OF NAVAL OPERATIONS
WASHINGTON, DC 20350-2000

IN REPLY REFER TO

OPNAVINST 5040.15D
N852

2 APR 1993

OPNAV INSTRUCTION 5040.15D

From: Chief of Naval Operations

Subj: MINE WARFARE READINESS CERTIFICATION PROGRAM

Ref: (a) OPNAVINST C3501.2H
(b) OPNAVINST 3370.3C

Encl: (1) MRCI Message Report Format

1. Purpose. To state policy, delineate procedures, and assign responsibilities for Mine Warfare Readiness Certification in the United States Navy. This instruction has been significantly revised and should be reviewed in its entirety.

2. Cancellation. OPNAVINST C5040.15C and OPNAV 5040-7.

3. Policy. Fleet Commanders in Chief (FLTCINCs) will assess the readiness of units to accomplish Required Operational Capabilities (ROCs) in Mine Warfare (MIW) as listed in reference (a), through a Mine Warfare Readiness Certification Inspection (MRCI). As directed in reference (b), Commander, Mine Warfare Command (COMINEWARCOM) will provide technical inspectors to conduct those inspections.

4. Certification Requirement. Type Commanders of units subject to the Mine Warfare Readiness Certification Program will ensure that all units remain certified by their respective FLTCINC.

5. Certification Status. Units subject to the Mine Warfare Readiness Certification Program (less submarines) will be classified as described below.

a. Certified. Unit has successfully completed all aspects of an MRCI, is within inspection periodicity, and has been so designated by the FLTCINC Chief Inspector.

b. Decertified. Inspection periodicity or partial reinspection periodicity has lapsed or unit has had unsatisfactory performance of MRCI.



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c. Not Certified. Unit has never been inspected.

6. Mine Warfare Certification Inspection

a. Scope. The MRCI will inspect all ROCs listed in the unit's ROC Statement that relate to the safe and efficient accomplishment of the unit's MIW mission. Specific ROCs to be inspected will be listed in a Letter of Instruction (LOI) approved by the FLTCINC. Additionally, the MRCI will evaluate the resource areas which support the MIW mission.

(1) Required Operational Capabilities. Each ROC listed in the LOI will be evaluated based on the unit's observed ability to execute the mission, and will be graded satisfactory, unsatisfactory, or not inspected. If unsatisfactory, then an effort will be made to determine the resource area(s) which contributed to that failure, however, the sole standard for grading a ROC is the unit's ability to execute the associated mission within performance standards specified in the inspection criteria.

(2) Resource Areas. Supply, equipment, training and personnel management will be evaluated as satisfactory or unsatisfactory. Resource area deficiencies will not normally be considered MRCI failure criteria. However, in cases where the deficiency(ies) clearly prevents successful mission completion (i.e., a supply shortage prevents the unit from completing a mission dictated by a ROC) the affected ROC will be graded unsatisfactory based on the resource area shortfall.

b. Satisfactory MRCI. An MRCI is considered satisfactory when all ROCs assigned in the LOI have been evaluated satisfactory regardless of resource area evaluation. If any ROC is evaluated unsatisfactory, the unit will be reinspected based on the evaluation criteria.

c. Evaluation Criteria. The LOI will include evaluation criteria. These criteria will establish the conditions under which a unit which has received an unsatisfactory grade(s) on a ROC(s) may be considered for Partial Reinspection vice Total Reinspection.

(1) Partial Reinspection. In general, an unsatisfactory evaluation of one ROC (two in the case of MSO/MCM/MHC) will result in reinspection of the unsatisfactory ROC(s) only. A Partial Reinspection must occur within 120 days of the original inspection. At the discretion of the Chief Inspector, a Partial Reinspection may be conducted during the time frame of the

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original MRCI. ROCs evaluated as unsatisfactory during the original MRCI and the Partial Reinspection may be evaluated during a second Partial Reinspection which will be conducted within 120 days of the first Partial Reinspection.

(2) Total Reinspection. Unsatisfactory evaluation of more than one (more than two for MSO/MCM/MHC) ROC(s), or two unsatisfactory Partial Reinspections, or failure to complete a Partial Reinspection within 120 days of the original inspection or within 120 days of the first Partial Reinspection will require a Total Reinspection. The Total Reinspection must occur within 120 days of the original inspection if the results of the original inspection require a Total Reinspection, or within 120 days of an unsatisfactory second Partial Reinspection.

d. Periodicity

(1) Inspections for all units (less submarines) will be conducted at least every 24 months. Frequency may be increased if deemed necessary by type or unit commanders.

(2) For units which are certified based on a Partial Reinspection, the 24 month clock begins with the last day of the original MRCI.

(3) The 120 day requirement for Partial or Total Reinspection may be waived by the Type Commander when major equipment repairs are required.

(4) A minimum of two mining capable submarines per year per coast will be inspected.

e. Applicability. MRCI is applicable to the following units:

- (1) Surface MCM Vessels
- (2) Airborne MCM Squadrons
- (3) Mobile Mine Assembly Groups
- (4) Patrol Squadrons
- (5) Aircraft Carriers/Carrier Air Wings
- (6) MCM Operational Support Ships

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- (7) Attack Submarines
- (8) Magnetic Silencing Facilities
- (9) EOD MCM Detachments
- (10) Seal (ARG/MARG) Platoons

f. Grading. Responsibility for assigning an overall grade for an MRCI and determining unit certification rests with the Chief Inspector assigned by the FLTCINC. The Chief Inspector shall act as the direct representative of the FLTCINC using the evaluation criteria in the LOI and the inspection criteria provided by the technical inspectors. Grades will be assigned as follows:

(1) ROCs. Each ROC will be graded as satisfactory, unsatisfactory or not inspected.

(2) Resource Areas. Each resource area will be graded as satisfactory, unsatisfactory or not applicable.

(3) Overall Grade. The overall grade assigned will be satisfactory, unsatisfactory, or partial reinspection pending. In the case of satisfactory or unsatisfactory grades, the Chief Inspector will state whether the unit is certified or decertified. In the case of partial reinspection pending, the Chief Inspector shall indicate in the MRCI Message Report the date by which the unit should conduct a Partial Reinspection.

(4) Partial Reinspection Grade. After a partial reinspection, the Chief Inspector need indicate only the grade for reinspected ROC(s), the revised overall grade, and the revised certification status.

(5) SORTS Reporting. Each unit will reassess their MIW readiness based on the results of an MRCI and revise their SORTS Report, as appropriate.

7. Assist Visits. Assist Visits (AVs) will be conducted by Commander, Mine Warfare Inspection Group (COMINEWARINSGRU) when requested, depending on the availability of personnel. The purpose of the Assist Visit is unit MIW readiness assessment with particular focus on MRCI preparation. An Assist Visit should not be conducted within 60 days of MRCI, however, it will not be conducted within 45 days of MRCI unless approved by the FLTCINC.

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Requests for Assist Visits or other technical assistance should be made directly to COMINWARINSGRU. Inter-service Assist Visits should be requested through COMINWARCOM.

8. Mine Warfare Items of Interest Program

a. Purpose. Conditions may exist on an inspected unit which detract from mission effectiveness and yet are beyond the unit's ability to correct. The Mine Warfare Items of Interest Program is designed to highlight these conditions to the chain of command and to provide a vehicle for systematic reporting, tracking and resolution of deficient conditions. Although conditions included in this program may exist at a single unit, they will normally exist at other, similar units or throughout a ship class.

b. Program. Items will be included in the program only after approval by the affected FLTCINC. If applicable, the MRCI Chief Inspector will recommend items for inclusion in the program in the MRCI Message Report. The FLTCINC will assign an Item of Interest to a Type Commander for resolution or request assistance as appropriate. COMINWARINSGRU will assist the FLTCINCs in the management of the Mine Warfare Items of Interest Program by maintaining the current list of items for each FLTCINC. Any cognizant authority may propose items for inclusion in the program at any time by letter to the FLTCINC via the Type Commander, with information copies to COMINWARCOM and COMINWARINSGRU.

c. Reporting. Semi-annually, in November and May, COMINWARINSGRU will provide COMINWARCOM, Type Commanders and the FLTCINCs with a list of all outstanding Mine Warfare Items of Interest. In January and July, the Type Commanders will report to the FLTCINCs (copy to COMINWARINSGRU) the status of Items of Interest for which they are responsible.

d. Relationship to MRCI. During MRCI, the Chief Inspector is charged with assessing the unit's ability to fulfill ROCs specified in the LOI. If a deficiency causes a ROC evaluation to be unsatisfactory, that mission area is unsatisfactory even though the deficiency has been identified as an Item of Interest.

9. Reports. The following reports are associated with the Mine Warfare Readiness Certification Program.

a. MRCI Message Report. Drafted by the MRCI technical inspectors for release by the Chief Inspector. This message summarizes inspection results and reports unit certification

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status as determined by the Chief Inspector. Enclosure (1) provides the format for this report. This message is normally transmitted within 48 hours of completion of the MRCI, except for MRCIs of mine delivery commands, for which the MRCI Message Report will be transmitted within 48 hours of receipt of the scoring information.

b. MRCI Discrepancy Report. Letter report from COMINWARINSGRU to the inspected unit with copy to that unit's ISIC. This letter contains the detailed MRCI discrepancies of primary interest to the inspected unit.

c. Assist Visit Discrepancy Report. Similar in content to the MRCI Discrepancy Report, provided by COMINWARINSGRU technical inspectors to the inspected unit and the Immediate Superior in Command (ISIC) following an Assist Visit.

10. Responsibilities

a. Commander in Chief, U.S. Pacific Fleet (CINCPACFLT), Commander in Chief, U.S. Atlantic Fleet (CINCLANTFLT), Commander in Chief, U.S. Naval Forces Europe (CINCUSNAVEUR)

(1) As inspecting authority, direct inspections and approve LOIs for all communities.

(2) Designate Chief Inspector for the MRCI. The Chief Inspector will normally be the ISIC.

(3) Manage the Mine Warfare Items of Interest Program.

(4) With assistance from COMINWARINSGRU, maintain current certification status of all assigned units.

b. Type Commanders

(1) Schedule MRCIs for assigned units in conjunction with COMINWARINSGRU.

(2) Evaluate and act on the recommendations in the MRCI Message Report.

(3) Report the status of assigned Mine Warfare Items of Interest semi-annually (January and July) to the FLTCINC.

c. COMINEWARCOM

- (1) Act as TYCOM for designated units.
- (2) Act as approval authority for MRCI inspection criterion.
- (3) Review all MRCI Message Reports.
- (4) Issue a proposed LOI (to be reviewed and approved by the Fleet CINC) for the conduct of the MRCI at least 90 days (whenever possible) prior to the scheduled inspection date.

d. COMINEWARINSGRU

- (1) Maintain a sufficient number of qualified personnel to evaluate mine preparation operations, mine delivery operations, and mine countermeasures operations.
- (2) Provide an Assistant Chief Inspector and necessary qualified personnel to conduct MRCIs.
- (3) Develop and maintain current inspection criteria as approved by COMINEWARCOM.
- (4) Provide Assist Visits and technical assistance on an as requested, as available, basis.
- (5) Prepare the MRCI Message Report for the Chief Inspector.
- (6) Issue the MRCI Discrepancy Report.
- (7) Maintain certification status on all units for the appropriate FLTCINCs.
- (8) Maintain the Mine Warfare Items of Interest list for each FLTCINC. Provide that list to the FLTCINCs, Type Commanders and COMINEWARCOM semi-annually in May and November.
- (9) Provide copies of the current inspection criteria to units scheduled for inspections at least 100 days (whenever possible) in advance of the inspection.

e. Chief Inspector, Mine Warfare Readiness Certification Inspection

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(1) Conduct the MRCI in accordance with the issued LOI and inspection criteria.

(2) Determine unit certification status based on the results of the MRCI.

(3) Issue the MRCI Message Report, specifying certification status of the inspected unit.

(4) Include in the MRCI Message Report items which should be considered by the FLTCINC for approval as Mine Warfare Items of Interest.

11. Reports. The reporting requirements contained in this instruction are exempt from reports control by SECNAVINST 5214.2B.



H. W. JENKINS, JR.

Director, Expeditionary Warfare Division

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MRCI MESSAGE REPORT FORMAT

FM: (Inspected Unit)
TO: FLTCINC
INFO: CNO WASHINGTON DC //OP72/(and others, as applicable)//
Type Commander
Group Commander (As applicable)
COMINELWARCOM CHARLESTON SC //N3/N6//
ISIC
COMINELWARINSGRU CHARLESTON SC //00//

C L A S S I F I C A T I O N //N05040//

MSGID/GENADMIN/(Inspected Unit)
SUBJ/MINE WARFARE READINESS CERTIFICATION INSPECTION (MRCI)
/CONDUCTED ON (Inspected Unit) DURING THE PERIOD (dates of
inspection) (U)//

REF/A/DOC/OPNAVINST 5040.15D//

REF/B/RMG/COMINELWARCOM (LOI DTG)//

RMKS/1. (U/C) OVERALL EVALUATION: (SAT/UNSAT)

2. (U/C) CERTIFICATION STATUS: (CERTIFIED/DECERTIFIED/
PARTIAL REINSPECTION PENDING)

3. (U/C) EVALUATION OF REQUIRED OPERATIONAL CAPABILITIES:

A. (U/C) (First ROC) (SAT/UNSAT/NOT INSPECTED)

COMMENTS: (Optional)

B. (U/C) (Additional ROCs) (SAT/UNSAT/NOT INSPECTED)

COMMENTS: (Optional)

4. (U/C) EVALUATION OF MIW SPECIFIC RESOURCE AREAS:

A. (U/C) PERSONNEL (SAT/UNSAT)

COMMENTS: (Optional)

B. (U/C) TRAINING (SAT/UNSAT)

COMMENTS: (Optional)

C. (U/C) EQUIPMENT (SAT/UNSAT)

COMMENTS: (Optional)

D. (U/C) SUPPLY (SAT/UNSAT)

COMMENTS: (Optional)

5. (U/C) SCORING. (Mine Delivery Units only)

6. (U/C) CHIEF INSPECTOR COMMENTS:

7. (U/C) MINE WARFARE ITEMS OF INTEREST. (If applicable) THE
FOLLOWING ITEMS ARE RECOMMENDED FOR INCLUSION IN THE MINE WARFARE
ITEMS OF INTEREST PROGRAM. INFO ADDEES ARE ENCOURAGED TO COMMENT
REGARDING APPLICABILITY FOR INCLUSION AS ITEMS OF INTEREST.

8. (U/C) (If applicable) INFO ADDEES ARE ENCOURAGED TO INITIATE
RECOMMENDATIONS CONCERNING NEW TECHNIQUES, HARDWARE, EQUIPMENT,
DOCTRINE, TACTICS AND/OR DESIGN IMPROVEMENTS THAT MIGHT AID IN THE

Enclosure (1)

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ELIMINATION OF MIW DEFICIENCIES. SPECIFICALLY, REQUEST COGNIZANT ADDEES EVALUATE, FORWARD COMMENTS AND TAKE APPROPRIATE ACTION ON THE FOLLOWING:

- A. (U/C) deficiencies identified in the inspection)
- B. (U/C) deficiencies identified in the inspection)
- 9. (U) (Rank and name of Chief Inspector), CHIEF INSPECTOR, SENDS.
DECL/OADR//

Enclosure (1)